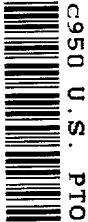


10/05/01



03950 U.S. PTO

10-09-01

A

SENNIGER, POWERS, LEAVITT & ROEDEL
ATTORNEYS AT LAW

FRANK R. AGOVINO
DERICK E. ALLEN
ROBERT M. BAIN
JAMES J. BARTA, JR.
G. HARLEY BLOSSER
JOHN M. BODENHAUSEN
RICHARD L. BRIDGE
SARAH J. CHICKOS
J. BENNETT CLARK
JENNIFER E. COOK
DAVID E. CRAWFORD, JR.
MATTHEW L. CUTLER
JAMES E. DAVIS
KATHRYN J. DOTY
ROBERT M. EVANS, JR.
PAUL I.J. FLEISCHUT
MICHAEL E. GODAR
CHRISTOPHER M. GOFF
DAVID W. HARLAN
JAMES D. HARPER

EDWARD J. HEJLEK
KAREN Y. HUI
KURT F. JAMES
VINCENT M. KEIL
ANTHONY R. KINNEY
BRIAN P. KLEIN
WILLIAM E. LAHEY
JULIE J. McMURRY
MICHAEL G. MUNSELL
DEBRA D. NYE
WILLIAM D. O'NEILL
KATHLEEN M. PETRILLO
LAURA R. POLCYN
KEITH A. RABENBERG
STEVEN M. RITCHEY
JOHN K. ROEDEL, JR.
RICHARD A. SCHUTH
MICHAEL J. THOMAS
DONALD W. TUEGEL
JACOB S. WHARTON

ONE METROPOLITAN SQUARE
16TH FLOOR
ST. LOUIS, MISSOURI 63102
314-231-5400

FACSIMILE 314-231-4342
<http://www.senniger.com>

PATENTS, TRADEMARKS, COPYRIGHTS
AND RELATED MATTERS

OF COUNSEL
IRVING POWERS
DONALD G. LEAVITT
RICHARD G. HEYWOOD

STUART N. SENNIGER
(1921-1997)

October 5, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: 985401/23401

First Named Inventor: Vladimir V. Vornokov et al.

Title: METHOD FOR THE PRODUCTION OF LOW DEFECT DENSITY SILICON

Express Mail Label Number: EL 910281092 US

TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 37]
4. ☒ Drawings [Total Sheets 3]
5. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
☒ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

jc857 U.S. PTO

09/972608



10/05/01

6. ☐ Incorporation By Reference
(useable if Box 5b is marked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

IF A CONTINUING APPLICATION, CHECK APPROPRIATE BOXES AND SUPPLY THE REQUISITE INFORMATION

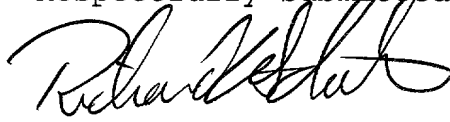
19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of prior application No.: _____
- ☒ Complete Application based on provisional application Serial No. 60/252,715, filed November 22, 2000 and also claims the benefit of provisional application Serial No. 60/245,610, filed November 3, 2000.

Prior application information: Examiner: Unknown
Group Art Unit: Unknown

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: Richard A. Schuth

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard A. Schuth". The signature is fluid and cursive, with the first name "Richard" being more prominent.

Richard A. Schuth, Reg. No. 47,929

RAS/msc

[illegible]

Application Number (To be Assigned)
Filing Date October 5, 2001
Inventor(s) Vladimir V. Voronkov, et al.
Attorney Docket Number 985401/23401

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.

☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.

☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. [X] BASIC FILING FEE Subtotal (1) \$ 740.00
(Type: Utility)
2. [X] EXTRA CLAIM FEES Subtotal (2) \$ 450.00

Total Claims 45
Independent Claims 3
Multiple Dependent Claims 0
3. [] ADDITIONAL FEES Subtotal (3) \$

[] Surcharge - late filing fee or oath
[] Surcharge - late provisional filing fee or cover
sheet
[] Extension for reply within month
[] Notice of Appeal
[] Filing a Brief in Support of an appeal
[] Request for ex parte Reexamination
[] Petitions to the Commissioner
[] Submission of Information Disclosure Statement
[] Recording each patent assignment per property
[] Request for Continued Examination
[] Other:

TOTAL AMOUNT OF PAYMENT \$ 1,190.00

Richard Schul

October 5, 2001
Date

RAS/msc
*Enclosure
Express Mail Label No. EL 910281092 US